

# Risk, uncertainty and democracy: what a sociology of quantification has to say

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Seminar: Risk, Uncertainty, and Democracy Calleva Centre for Evolution and Human Science, Magdalen College, Oxford, May 14-15 2024

## Where to find this talk: www.andreasaltelli.eu



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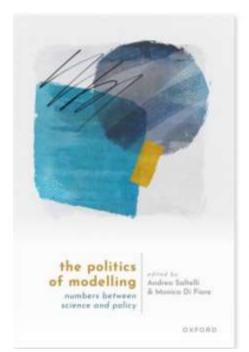
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#### August 25 2023: The politics of modelling is out!



#### Praise for the volume

"A long awaited examination of the role—and obligation—of modeling."

Nassim Nicholas Taleb , Distinguished Professor of Risk Engineering, NYU Tandon School of Engineering, Author, of the 5 -volume series incerto.

\*\*\*

"A breath of fresh air and a much needed cautionary view of the ever-widening dependence on mathematical modeling."

Orrin H. Pilkey, Professor at Duke University's Nicholas School of the Environment, co-author with Linda Pilkey-Jarvis of Useless Arithmetic: Why Environmental Scientists Can't Predict the Future, Columbia University Press 2009.

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'The methods by which power insinuates itself



### Taking issue with purported neutrality of methods



#### Environmental Science & Policy

Volume 106, April 2020, Pages 87-98



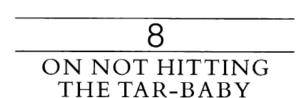
# The technique is never neutral. How methodological choices condition the generation of narratives for sustainability

Andrea Saltelli <sup>a b</sup>  $\overset{\circ}{\sim}$   $\overset{\circ}{\bowtie}$ , Lorenzo Benini <sup>c</sup>, Silvio Funtowicz <sup>a</sup>, Mario Giampietro <sup>d e</sup>, Matthias Kaiser <sup>a</sup>, Erik Reinert <sup>a f</sup>, Jeroen P. van der Sluijs <sup>a g h</sup>

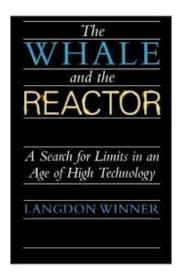
Most analyses offered as input to policy are framed as cost benefit analysis or **risk** analyses, but environmentalists disagree



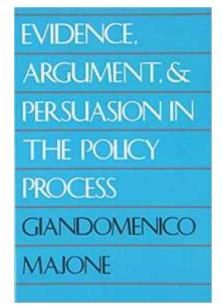
Langdon Winner



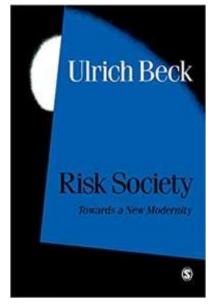
Winner, L., 1986. The Whale and the Reactor: a Search for Limits in an Age of High Technology. The University of Chicago Press, 1989 edition.



# From Ulrich Beck to Giandomenico Majone: the technique is never neutral



1989



1992 (1986)



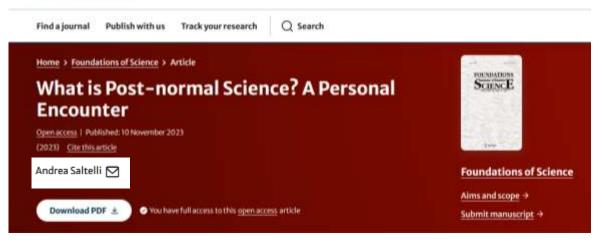
Ulrich Beck (1944 –2015)

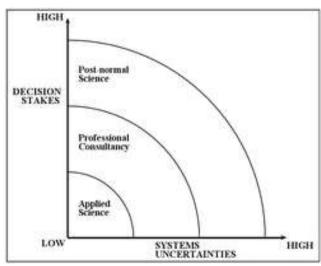
"... from the experts and the fundamental controversies they have fought out (or not fought out) one can learn how unwelcome results can be blocked professionally (by methodological criticism, for instance)" (Beck 1992)

The pretended distinction between facts and value is used instrumentally. In the policy process fact and values cannot be separated in the making of an argument (Majone, 1989)

# The impossible separation between facts and values is one of the 'keys' of post-normal science

#### **SPRINGER LINK**





S. Funtowicz and J. R. Ravetz, 'Science for the post-normal age', Futures, vol. 25, no. 7, pp. 739-755, Sep. 1993, doi: 10.1016/0016-3287(93)90022-L.

A technical proof of quality is illusory without a parallel investigation of normative quality; the example of indicators of employment

**Technical Quality** 

Normative quality

Justice: Means versus Freedoms

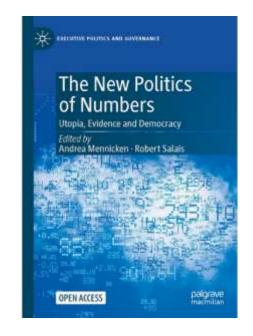
Author(s): Amartya Sen

Source: Philosophy & Public Affairs, Vol. 19, No. 2 (Spring, 1990), pp. 111-121

Published by: Wiley

Stable URL: http://www.jstor.org/stable/2265406

Accessed: 28/10/2014 14:48



Salais, R. (2022). "La donnée n'est pas un donné":
Statistics, Quantification and Democratic Choice. In *The New Politics of Numbers: Utopia, Evidence and Democracy*, Andrea
Mennicken and Robert Salais, Palgrave Macmillan, pp. 379-415.

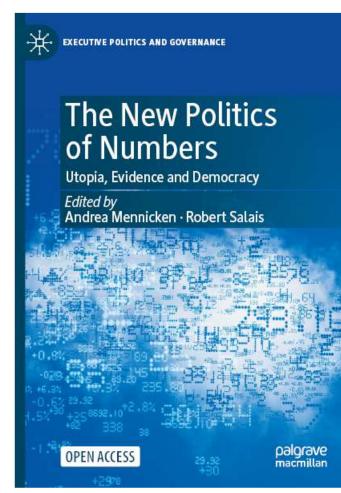
## **Evidence based policy**

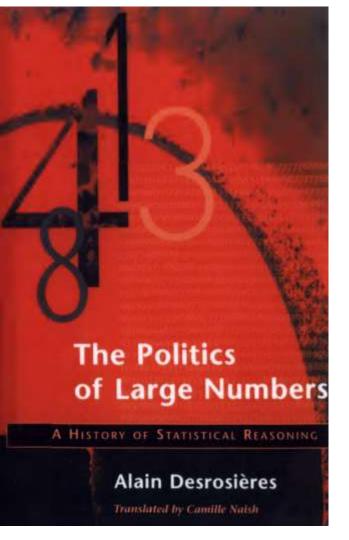
Statistics: creating things that hold together (Desrosières) for the solution of practical problems

## Policy based evidence

Governance driven
quantification: a
reversal of the statistical
pyramid

CHAPTER 12, "La donnée n'est pas un donné": Statistics, Quantification and Democratic Choice, *Robert Salais* 

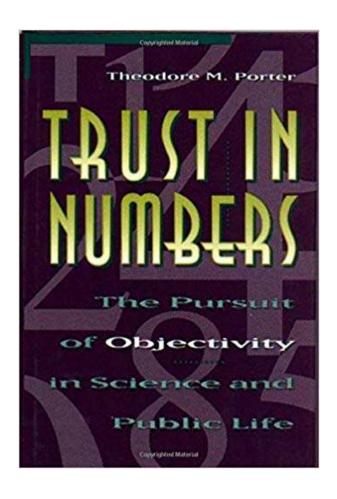




The innocence lost?

Alain Desrosières: statistics for "making things that hold"

The construction of statistical concepts and categories that can inform policy



Numbers are not neutral but their purported neutrality is a large part of their appeal: being performative without appearing to be so

http://ereserve.library.uta h.edu/Annual/WRTG/3705/ Mathison/objectivity.pdf in

Objectivity as Standardization: The Rhetoric of Impersonality in Measurement, Statistics, and Cost-Benefit Analysis

THEODORE M. PORTER

 Quantification makes knowledge impersonal, hence objective.



#### What to do? adopt more lenses

Environmental Science and Policy 142 (2023) 99-111



Contents lists available at ScienceDirect

#### **Environmental Science and Policy**

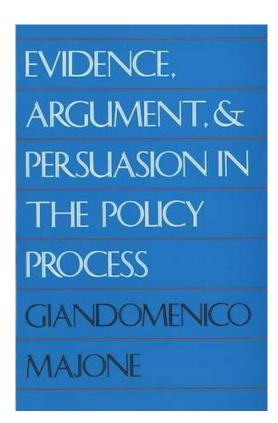
journal homepage: www.elsevier.com/locate/envsci



# Impact assessment culture in the European Union. Time for something new?



Andrea Saltelli <sup>a,b,\*</sup>, Marta Kuc-Czarnecka <sup>c</sup>, Samuele Lo Piano <sup>d</sup>, Máté János Lőrincz <sup>d</sup>, Magdalena Olczyk <sup>c</sup>, Arnald Puy <sup>e</sup>, Erik Reinert <sup>f,g</sup>, Stefán Thor Smith <sup>d</sup>, Jeroen P. van der Sluijs <sup>b,h</sup>



Multiple policy evaluation should also be possible. It would recognize the legitimacy of the different perspectives … the objective is not to construct a grand model that would combine all the partial perspectives into…a weighted average …of equity, effectiveness, legality … (p.9)

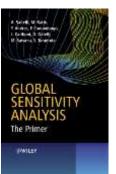
#### Proposed lenses

- Non-Ricardian economics
- Bioeconomics (in the sense of Nicholas Georgescu-Roegen)
- Approaches originated in the context of post-normal science
  - global uncertainty and sensitivity analysis
  - sensitivity auditing
  - NUSAP
  - quantitative storytelling













#### Contrasting invisibilities

Non-Ricardian economics: invisibility of qualities, whereby all hours of work are taken to have the same value

Bioeconomics: invisibility of nature, whereby natural resources are considered as infinite or infinitely substitutable

Post-normal science: invisibility of values, obfuscated by the purported

neutrality of quantification

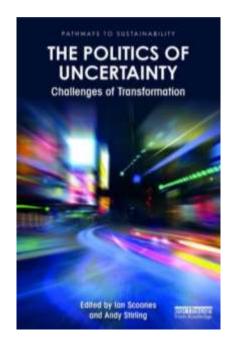


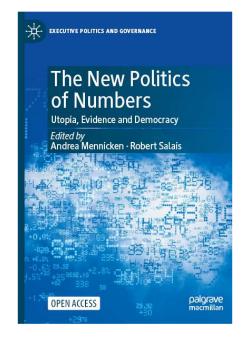
Nicholas Georgescu-Roegen

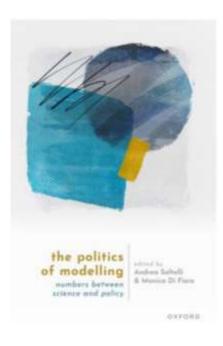


Jerome Ravetz and Silvio Funtowicz

Erik S. Reinert







2020 2022 2023



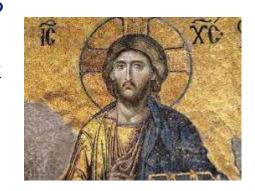
Impact assessment culture in the European Union. Time for something new?

Andrea Saltelli<sup>a,b,\*</sup>, Marta Kuc-Czarnecka<sup>c</sup>, Samuele Lo Piano <sup>d</sup>, Máté János Lörincz <sup>d</sup>, Magdalena Olczyk<sup>c</sup>, Arnald Puy<sup>c</sup>, Erik Reinert <sup>f,g</sup>, Stefán Thor Smith <sup>d</sup>, Jeroen P. van der Sluijs<sup>b,ta</sup>

Reveal the policy of the numbers

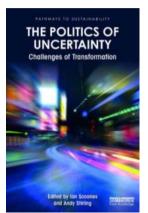
#### A technocratic orthodoxy in the EU? Why 'reductionism'?

- The single market needs a centralized, standardized **risk** assessment approach
- EU pro-industry (e.g. biotech) growth agenda
- Fear of opening the road to endless deconstruction of planned policies





See 'The unravelling of technocratic orthodoxy', of P. van Zwanenberg



#### Test cases

- Climate, energy, and Sámi herders
- Climate and Water security
- Biodiversity and pollinator decline
- Lessons learned from COVID-19



https://www.researchgate.net/publication/233760548\_E urasian Arctic Land Cover and Land Use in a Chan ging\_Climate/figures?lo=1





Impact assessment culture in the European Union. Time for



#### Test cases

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What did COVID-19 really teach us about science, evidence and society?

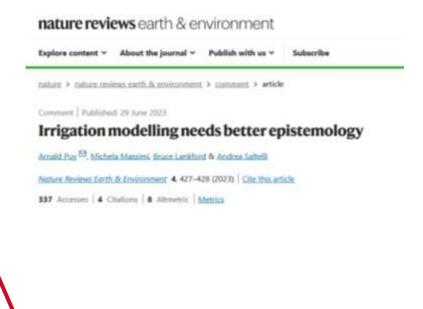
Andrea Saltelli @ Joachim P. Sturmberg, Daniel Sarewitz, John P. A. Ioannidis

First published: 06 June 2023 | https://doi.org/10.1111/jep.13876 | Citations: 2



Impact assessment culture in the European Union. Time for something new?





Robinson, Claire, et al. 2018. "Ensuring a Higher Level of Protection from Pesticides in Europe: The Problems with Current Pesticide Risk Assessment Procedures in the EU -and Proposed Solutions. A White Paper Prepared for the Coalition Citizens for Science in Pesticide Regulation." https://doi.org/10.5281/ZENODO.2543743.



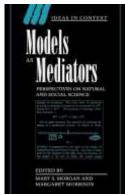
#### Mathematical models: a state of exception?







Versatility, variety of methods, impossible to falsify, epistemic authority, pretence of neutrality, difficult to comprehend



Contesting unjust/unfair governance arrangement is impossible without producing alternative constructions of evidence – that requires muscles not easily available to the lay citizen





#### Futures

Available online 26 October 2021 In Press, Journal Pre-proof (?)



# Science, the endless frontier of regulatory capture

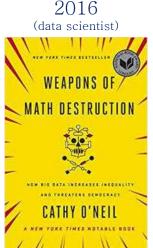
Andrea Saltelli <sup>a</sup> R <sup>128</sup>, Dorothy J. Dankel <sup>b, c</sup>, Monica Di Fiore <sup>d</sup>, Nina Holland <sup>e</sup>, Martin Pigeon <sup>e</sup>

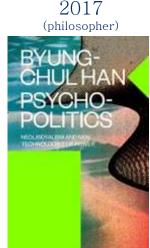


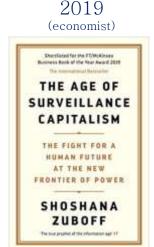
But purported facts checkers play numbers to serve private interests

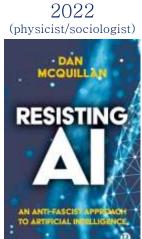
# Powered by algorithms, governance by (visible and invisible) numbers contributes to a loss of democratic agency (a-democracy)











#### From loss of political agency to identity politics

# The Clash Of Civilizations Is The Politics Of The End of History

Reflections by the philosopher Slavey Zillak on universality and "workfloss places."

ESSAY GEOPOLITICS & GLOBALIZATION

BY NATHAN GARDELS MAY 3 2024



"The only remaining legitimate source of conflicts are cultural (ethnic, religious) tensions.

Today's rise of 'irrational' populism is thus to be conceived as strictly correlative to the depoliticization of our societies, i.e., to the disappearance of the proper political dimension" (Slavoj Žižek)



https://www.noemamag.com/the-clash-of-civilizations-is-the-politics-of-the-end-of-history/

#### Summary

Risk analyses (as any kind of quantification) cannot be neutral

The double dimension of quality from Sen to Salais

Mathematical modelling can escape societal supervision (epistemically authoritative, purportedly neutral, difficult to challenge)

An antidote: a plurality of lenses (But power asymmetries are a challenge)

An apparatus of quantification with visible and invisible numbers contributes to a-democracy (Or to fascism for Dan McQuillan; from a-democracy to identity politics for Slavoj Žižek)



#### Climate, energy, and Sámi herders

A grid interconnection between Scandinavian countries and Iceland?

Complex web of interests and vulnerabilities

Negative effect of (green) energy production in Scandinavia for the migratory patterns of reindeers, against increased infrastructural burden in Iceland



## Norwegian wind farms violate rights of Sámi reindeer herders, says court



Norway's Supreme Court has ruled that two western wind farms have illegally encroached on the grazing land of Sámi reindeer herders.



Andrea Saltelli <sup>a,b,\*</sup>, Marta Kuc-Czarnecka <sup>c</sup>, Samuele Lo Piano <sup>d</sup>, Máté János Lőrincz <sup>d</sup>, Magdalena Olczyk <sup>c</sup>, Arnald Puy <sup>e</sup>, Erik Reinert <sup>c,g</sup>, Stefán Thor Smith <sup>d</sup>, Jeroen P. van der Sluijs <sup>b,k</sup>.

#### Climate, energy, and Sámi herders

Loss of habitat, economic predation and legal frameworks "potentially dwarf the putative effects of projected climate change on reindeer pastoralism"

N. J. C. Tyler *et al.*, 'Saami reindeer pastoralism under climate change: Applying a generalized framework for vulnerability studies to a sub-arctic social-ecological system', *Global Environmental Change*, vol. 17, no. 2, pp. 191–206, May 2007, doi: 10.1016/j.gloenvcha.2006.06.001.



https://www.researchgate.net/publication/23376054 8\_Eurasian\_Arctic\_Land\_Cover\_and\_Land\_Use\_in\_ a\_Changing\_Climate/figures?lo=1



Check for spooling

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#### Climate, energy, and Sámi herders

Reductionism in the EU energy policy making: the problem are the emissions and the solution is swapping fossil fuel based with renewable

This ignores causal linkages with social and environmental structures, such as how this interfere with the Sámi herders

https://www.researchgate.net/publication/23 3760548\_Eurasian\_Arctic\_Land\_Cover\_and \_Land\_Use\_in\_a\_Changing\_Climate/figures ?lo=1





Power asymmetries in the framing of issues: those who have the deepest pockets marshal the best [foremost 'scientific'] evidence



#### **Futures**

Available online 26 October 2021 In Press, Journal Pre-proof (?)



Science, the endless frontier of regulatory capture

Andrea Saltelli <sup>a</sup> ♀ ≅, Dorothy J. Dankel <sup>b, c</sup>, Monica Di Fiore <sup>d</sup>, Nina Holland <sup>e</sup>, Martin Pigeon <sup>e</sup>

## An epistemic ladder for regulatory capture

- Epistemic. Invalidating the inference or the methods
- Institutional. Delegitimising and/or 'colonising' institutions
- Political. Promoting a worldview where the very idea of regulation is undesirable



#### **Futures**

wailable online 26 October 202



# Science, the endless frontier of regulatory capture

Andrea Saltelli <sup>a</sup> <sup>g</sup> ⊠, Dorothy J. Dankel <sup>b, c</sup>, Monica Di Fiore <sup>d</sup>, Nina Holland <sup>e</sup>, Martin Pigeon <sup>e</sup>



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